



FINANCIAL SERVICES GUIDE

BLUEMOUNT CAPITAL (QLD) PTY LTD
ABN 85 147 942 603
Corporate Authorised Representative

of

BR SECURITIES AUSTRALIA PTY LTD
ABN 92 168 734 530
AFSL 456663

Effective Date: 1st November 2018

AUSTRALIA CHINA USA

BlueMount Capital (Qld) Pty Ltd ACN 147 942 603 Authorised Representative for AFSL 456663
Level 5 • 15 Astor Terrace Spring Hill Queensland 4000 Australia. Phone +61 7 3160 2840
Email brisbane@bluemountcapital.com www.bluemountcapital.com

About Us

BR Securities Australia Pty Ltd (BR) is the holder of Australian Financial Services Licence ("AFSL") number 456663.

BlueMount Capital (QLD) Pty Ltd (BMCQ), is an Authorised Representative (number 398161) of BR.

This Financial Service Guide (FSG) is designed to assist you in deciding whether to use BMCQ's services and includes such things as:

- who we are
- our services
- how we transact with you
- how we are paid, and
- complaint processes

BMCQ is the entity providing the AFSL authorized services to you.

BMCQ is an independent private company.

BMCQ's services will be offered under the BMCQ name.

BR is an independent private company owned by the directors of BR.

The distribution of this FSG by BMCQ is authorized by BR.

Contact Details of BR and BMCQ:

BMCQ Office: Level 5, 15 Astor Terrace, Spring Hill, QLD, 4000.

Phone: +61 7 3160 2840

Email: brisbane@bluemountcapital.com

BR Office: Level 14, 344 Queen Street, QLD, 4000.

Web Pages: <http://www.bluemountcapital.com>

<http://www.brsecuritiesaustralia.com.au>

What Financial Services are we authorised to provide to retail clients?

BR authorizes BMCQ to:

Arrange deals on behalf of retail clients in relation to:

- Securities;
- Standard margin lending; and
- Managed Investment Schemes including Investor Directed Portfolio Services.

Our dealing service for retail clients

BMCQ may arrange for you to deal in eligible initial offerings of listed and unlisted securities and BMCQ can arrange for you to buy or sell these securities.

Please note that BMCQ does not provide financial or general advice to retail clients and you should seek appropriate professional advice.

What Financial Services are we authorised to provide to wholesale clients?

BMCQ is also authorized by BR to provide the following services to wholesale clients.

Provide general advice in relation to:

- Securities;
- Standard margin lending; and
- Managed Investment Schemes including Investor Directed Portfolio Services.

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Arrange deals in relation to:

- Securities;
- Standard margin lending; and
- Managed Investment Schemes including Investors Directed Portfolio Services.

How are we paid?

BMCQ may receive a distribution fee from the issuer of securities initiated via an eligible offer document, subject to the conflicted remuneration laws.

BMCQ may receive a fee for advising an issuer of securities via an eligible offer document. Fees will generally be disclosed via the offer and/or will be disclosed to you when relevant.

Associations and Relationships

BR, BMCQ, its directors and related parties are independent service providers. BMCQ will disclose to you if there are any pecuniary interests relating to BMCQ offers and BMCQ's representatives may subscribe to securities on offer.

Complaints

If you have a complaint about our service you should contact your representative and tell them about your complaint. The representative will follow BR's internal dispute resolution policy, which includes sending you a copy of the policy when required to. If you aren't satisfied with an outcome, you may contact AFCA, see below.

BR is a member of the Australian Financial Complaints Authority (AFCA). AFCA provide fair and independent financial services complaint resolution that is free to consumers.

Website: www.afca.org.au

Email: info@afca.org.au

Telephone: 1800931678 (free call)

In writing to: Australian Financial Complaints Authority, GPO Box 3, Melbourne, VIC, 3001.

Professional Indemnity Insurance

BR has in place Professional Indemnity Insurance which satisfies the requirements for compensation under s912B of the Corporations Act.



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