



FINANCIAL SERVICES GUIDE

BLUEMOUNT CAPITAL (SYDNEY) PTY LTD

ABN 95 098 335 445

Corporate Authorised Representative

of

NEXTEC CORPORATION PTY LTD

ACN 072 282 529

AFSL 230 680

Effective Date: 1ST FEBRUARY 2021

AUSTRALIA CHINA USA

BlueMount Capital (Sydney) Pty Ltd ACN 098 335 445 Authorised Representative for AFSL 230680
Level 36, Governor Phillip Tower, 1 Farrer Place Sydney New South Wales 2000 Australia. Phone +61 2 8001 6309
Email sydney@bluemountcapital.com www.bluemountcapital.com

About Us

Nextec Corporation Pty Ltd (Nextec) is the holder of Australian Financial Services Licence (“AFSL”) number 230 680.

BlueMount Capital (Sydney) Pty Ltd (BMCS), is an Authorised Representative (number 400409) of Nextec.

This Financial Service Guide (FSG) is designed to assist you in deciding whether to use BMCS’s services and includes such things as:

- who we are
- our services
- how we transact with you
- how we are paid, and
- complaint processes

BMCS is the entity providing the AFSL authorized services to you.

BMCS is an independent private company.

BMCS’s services will be offered under the BMCS name.

Nextec is an independent private company owned by shareholders.

The distribution of this FSG by BMCS is authorized by Nextec.

Contact Details of Nextec and BMCS:

BMCS Office: Level 36, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000

Phone: +61 2 8001 6309

Email: sydney@bluemountcapital.com

Web Pages: <http://www.bluemountcapital.com>

Nextec Office: Level 11, 333 Collins St, Melbourne VIC 3000

Email: ilmars@collinsgroup.com.au

What Financial Services are we authorised to provide to wholesale clients?

BMCS is authorised by Nextec only for the purpose of acting for and on behalf of clients in relation to proposed or actual mergers, acquisitions, takeovers, corporate reconstructions or issues of shares, debt and convertible notes, to carry on a financial services business to provide financial product advice for securities to wholesale clients.

How are we paid?

BMCS may receive a distribution fee from the issuer of securities initiated via an eligible offer document, subject to the conflicted remuneration laws.

BMCS may receive a fee for advising an issuer of securities via an eligible offer document. Fees will generally be disclosed via the offer and/or will be disclosed to you when relevant.

Associations and Relationships

Nextec, BMCS, its directors and related parties are independent service providers. BMCS will disclose to you if there are any pecuniary interests relating to BMCS offers and BMCS's representatives may subscribe to securities on offer.

Complaints

If you have a complaint about our service you should contact your BMCS representative and tell them about your complaint. The representative will engage with the Nextec representative with a view to resolving the dispute. If you aren't satisfied with the outcome, you may contact the Australian Financial Complaints Authority (AFCA).

Nextec is a member of the Australian Financial Complaints Authority (AFCA) is a body set up to consider complaints about an investment or financial advice that you have received from a firm. AFCA provides fair and independent financial services complaint resolution that is free to consumers.

Website: www.afca.org.au
Email: info@afca.org.au
Telephone: 1800 931 678 (free call)
In writing to: Australian Financial Complaints Authority, GPO Box 3, Melbourne, VIC, 3001.



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