



FINANCIAL SERVICES GUIDE

BLUEMOUNT CAPITAL (SYDNEY) PTY LTD ABN 95 098 335 445

Corporate Authorised Representative of

Nextec Corporation Pty Ltd

ACN 072 282 529

AFSL 23068

Effective Date: 15 January 2020

Level 32, 200 George St Sydney NSW 2000
Australia

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About Us

Nextec Corporation Pty Ltd (NEXTEC) is the holder of Australian Financial Services Licence (AFSL) number 230680.

BlueMount Capital (Sydney) Pty Ltd (BCS), is an Authorised Representative (number 400409) of Nextec

This Financial Service Guide (FSG) is designed to assist you in deciding whether to use BCS's services and includes such things as

- who we are
- our services
- how we transact with you
- how we are paid, and
- complaint processes

BCS is the entity providing the AFSL authorized services to you as a retail client.

BCS is an independent private company.

Nextec is an independent private company owned by the directors of Nextec.

Contact Details, Nextec and BCS

BCS Office: Level 32, 200 George St, Sydney, NSW, 2000.

Phone: Len McDowall on 0418 673 066

Nextec Office: NEXTEC Level 13, 350 Collins Street Melbourne Victoria 3000

Phone +61 3 9670 9030

Website:

<http://www.bluemountcapital.com>



What Financial Services are we authorised to provide to retail clients?

Nextec authorizes BCS to arrange deals on behalf of retail clients in relation to

- Securities

The distribution of this FSG by BCS is authorized by Nextec.

BCS's services will be offered under the BCS name.

BCS is also authorized by Nextec to provide the following services to wholesale clients. provide general advice in relation to

- Securities
- Managed Investment Schemes excluding Investor Directed Portfolio Services

Arrange deals in relation to

- Securities
- Managed Investment Schemes excluding Investors Directed Portfolio Services

Our dealing service for retail clients

BCS may place on its web page prospectuses for new IPOs from time to time. You can deal via BCS by accessing the BCS web page, reading the prospectus and applying for shares under the prospectus. BCS representatives authorized to provide this service may fill in the forms for you if you desire.

BCS is not authorized to provide advice to retail investors but may notify you if a prospectus is placed on their web page.

How are we paid?

BCS may receive a distribution fee from the issuer for securities initiated via a prospectus available through BCS's web page, subject to the conflicted remuneration laws.

BCS's representatives may advise a company, whose prospectus is available through BCS's web page, and be paid a fee for that advice. This will be disclosed to you when relevant.



Associations and Relationships

Nextec, BCS, its directors and related parties have no associations or relationships with any product issuers.

BCS's representatives may subscribe to securities on offer.

Complaints

If you have a complaint about BCS's service you should contact your representative and tell them about your complaint. The representative will follow the internal dispute resolution policy, including sending you a copy of the policy when required to.

Nextec is a member of the Financial Ombudsman Service, our external dispute resolution provider.

Financial Ombudsman Service GPO Box 3
Melbourne VIC 3001
Telephone: 1300 78 08 08
Email: info@fos.org.au

Professional Indemnity Insurance

Nextec has in place Professional Indemnity Insurance which satisfies the requirements for compensation under s912B of the Corporations Act.